Attorney Docket No. 60033-0011 2/5242

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication of:

Group Art Unit No.: 2152

Shankar Narayan

Examiner: NYA

Serial No.: 09/981,189

Filed on: October 16, 2001

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e)
	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

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		information disclosure statement.
		1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
· .		A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.I the follo	R. §1.97(d). If so, then this Information Disclosure Statement includes owing:
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		1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
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		AND
		A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
		R. §1.97(i). Wherein applicants are submitting references before the a patent to be placed in the file but not considered by the Patent office.
		cordingly, copies of the references as listed on the attached Form PTO are submitted herewith for placement in the file. No certification or

fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: July <u>18</u>, 2002

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San Jose, California 95125-5106

Telephone: (408) 414-1080 Facsimile: (408) 414-1076

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D. C. 20231 on July 2002 (Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)					Attorney Docket No.: 60033-0011	Application Numb 09/981,189	er:
JUL 2 2 2002					First Named Inventor: Shankar Narayan		
					Filing Date: October 16, 2001		
	& TRA	DEF		U.S. PATEN	IT DOCUMENTS		
Exam. Initial*	Cite No. ¹	U.S. Patent Document Number Kind Code ² (If known)		Na	of Patentee or Applicant of Pictor Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or
					JUL 2 9 2002 Technology Center 2100		Relevant Figures Appear
							
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Exam.	Cite	Foreign Patent Document			Name of Patentee or Applicant	Date of	Pages,	Т6
Initial*	No. ¹	Office ³ Number ⁴ Kind Code ⁵ (If known)			of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	-
		EP	0803811	A2	Sun Microsystems, Inc.	10-29-1997		
		WO	94/11810		Microsoft Corporation	05-26-1994		
		WO	98/44414		Sun Microsystems, Inc.	10-08-1998		

Examiner			Date Considered	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute f		1449A/PTO (Modified) eets as necessary)	Attorney Docket No.: Application Number: 60033-0011 09/981,189							
10,	,	C. G.	First Named Inventor: Shankar Narayan							
	2 2 2002	A JOE A	Filing Date: October 16, 2001							
ENT& T	RADEMACH	OTHER ART – NO PATENT	LITERATURE DOCUM	MENTS						
Examiner Initials*	Cite No¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published								
		WOO-YONG HAN, ET AL., "Design an Security Specification 2.0," 1998, pp. 74-	d Implementation of Sec 78, XP-000923092.	cure ORB L	Jsing Cobes hnow	JUL	RECEIVE			
		WOO-YONG HAN, ET AL., "Design and Implementation of Secure ORB Using Cobres Security Specification 2.0," 1998, pp. 74-78, XP-000923092. ERICH GAMMA, ET AL. "Design Patterns: Elements of Reusable Object-Oriented Software," 1995, pp. 1-9, 207-217, XP-002200550. PATRICK BIGET, ET AL., "How smart cards can benefit from object-oriented to the control of the control o								
	PATRICK BIGET, ET AL., "How smart cards can benefit from object-oriented technologies," Future Generation Computer Systems 13(1997) 75-90, vol. 13, no. 1, July 1, 1997, pp. 75-90, XP-004081711. "Distributed Object Encapsulation of Customer Information Control System Distributed Transaction Processing," IBM Technical Disclosure Bulletin, vol. 38, no. 1, 1995, pp. 177-180, XP-000498730.									
,		AMITABH DAVE, ET AL., "Proxies, Application Interfaces, and Distributed Systems," Proceedings of the International Workshop on Object Orientation in Operating Systems, 1992, pp. 212-220, XP 002004311								
		OBJECT MANAGEMENT GROUP: "CO Specification," November 1997, pp. I – 2		,	vices					
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